W0/565456

CASE PN/4-33256A

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF AUBERSON ET AL.
INTERNATIONAL APPLICATION NO: PCT/EP2004/008283
FILED: 23 JULY 2004
U.S. APPLICATION NO: Not Yet Known
35 USC §371 DATE: Herewith

FOR: NOVEL DIBENZO[B,F]OXEPINE-10-CARBOXAMIDES AND PHARMACEUTICAL USES THEREOF

MS: Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references were cited in the international stage search report. Since these references are of record in the instant PCT application PCT/EP2004/008283, copies are not enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7951

Date: January 20, 2006

Respectfully subplitted

Peter J. Waibel Attorney for Applicants Reg. No. 43,228 FORM PTO-1449 (REV. 7-85)

#### U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1 ATTY. DOCKET NO. PN/4-33256A APPLICATION Not Yet Known 1565456 AUBERSON ET AL. FILING DATE

Group

Herewith

IAP20 RESIDENTED 20 JAN 2006

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA			-			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	Al						
	AJ						
	AK						
	AL						

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRAN YES	SLATION NO
AM							
AN							
AO					-		
AP							
AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AB	Emilion et al., "Prespects for Pharmacelegical Intervention in Alzheimer Diseases", Archives of
	Libeurology, Vol. 57, No. 4, pp. 454-459 (2000).
AS	
АТ	
EXAMINER	(Nichia Jawalii (20/20/2009) DATE CONSIDERED

/Noble Jarrell/ (09/29/2008)

DATE CONSIDERED

Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.